



FORM ADV PART 2B - BROCHURE SUPPLEMENT

December 31, 2021

Legado Wealth Management LLC
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List of Supervised Persons:

Oscar David Flores de Valgaz, Managing Member/Chief Compliance Officer

This brochure supplement provides information about the supervised persons listed below that supplement the Legado Wealth Management LLC ("Legado" or "the Advisor") Disclosure Brochure. You should have received a copy of that brochure. Please contact Oscar David Flores de Valgaz, Chief Compliance Officer, if you did not receive Legado's brochure or if you have any questions about the contents of this supplement by email at compliance@legadowealth.com.

Additional information about the above supervised persons is available on the SEC's website at www.adviserinfo.sec.gov

EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Mr. Oscar David Flores de Valgaz is the owner and Principal of Legado Wealth Management LLC. Mr. Flores de Valgaz was born in 1974 and earned a B.S. in Interdisciplinary Studies from Nyack College in 1998. His professional experience is as follows:

Professional Experience:

07/2019 - Present: Legado Wealth Management LLC - Financial Advisor

06/2017 - 07/2021: Union Capital Group - Financial Advisor

07/2016 - 06/2017: InSight Securities - Financial Advisor

05/2015 - 07/2016: Lebenthal & Co., LLC - Financial Advisor

05/2012 - 06/2015: Forefront Capital Markets LLC - Financial Advisor

10/2010 - 05/2012: Maxim Group LLC - Financial Advisor

01/2006 - 07/2010: Morgan Stanley Smith Barney and predecessors - Financial Advisor

06/2002 - 01/2006: Merrill Lynch - Associate

DISCIPLINARY INFORMATION

As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Flores de Valgaz. However, we do encourage you to independently view the background of Mr. Flores de Valgaz on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov and by searching with his full name or his Individual CRD# 4598645.

OTHER BUSINESS ACTIVITIES

Consulting Services

Mr. Flores de Valgaz is a principal of Legado Consulting LLC. Legado Consulting LLC is a compliance and business consulting firm, rendering services to businesses and other RIAs on operational and compliance needs. Mr. Flores de Valgaz spends no significant time of the trading day in this business.

Insurance Agency Affiliations

Mr. Flores de Valgaz is also a licensed insurance professional. Implementations of insurance recommendations are separate and apart from his role with Legado. As an insurance professional, Mr. Flores de Valgaz may receive customary commissions and other related revenues from the various insurance companies whose products are sold. Mr. Flores de Valgaz is not obligated to offer the products of any particular insurance company. Commissions generated by insurance sales do not offset regular advisory fees. This may cause a conflict of interest in recommending certain products of the insurance companies. Clients are under no obligation to implement any recommendations made by Mr. Flores de Valgaz.

Board Member

Mr. Flores de Valgaz, in his separate capacity, sits on the board of Renew City Church, a charitable organization located in Bayside, NY. Mr. Flores de Valgaz is responsible for providing strategic oversight along with other board members, on the initiatives of the organization. Mr. Flores de Valgaz is not compensated and spends less than 5 hours per month in this capacity.

ADDITIONAL COMPENSATION

Mr. Flores de Valgaz has additional business activities where compensation is received that are detailed in Item 4 above.

SUPERVISION

Legado has implemented a Code of Ethics, an internal compliance document that guides each Supervised Person in meeting their fiduciary obligations to Clients of Legado. Further, Legado is subject to regulatory oversight by various regulatory agencies. These agencies may require registration by Legado and its Supervised Persons. As a registered entity, Legado is subject to examinations by the regulators, which may be announced or unannounced. Legado is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.

REQUIREMENTS FOR STATE ADVISORS

Mr. Flores de Valgaz is not, and has never been, subject to any investigation related to fraud, theft, or unethical business practices related to any business activity. Specifically, Mr. Oscar David Flores de Valgaz has no disclosures related to:

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
 - a. an investment or an investment-related business or activity;
 - b. fraud, false statement(s), or omissions;
 - c. theft, embezzlement, or other wrongful taking of property;
 - d. bribery, forgery, counterfeiting, or extortion; or
 - e. dishonest, unfair, or unethical practices.
2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
 - a. an investment or an investment-related business or activity;
 - b. fraud, false statement(s), or omissions;
 - c. theft, embezzlement, or other wrongful taking of property;
 - d. bribery, forgery, counterfeiting, or extortion; or
 - e. dishonest, unfair, or unethical practices.